

A divergent asymmetric approach to aza-spiropyran derivative and (*1S,8aR*)-1-hydroxyindolizidine

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Abstract

Background

Spiroketals and the corresponding aza-spiroketals are the structural features found in a number of bioactive natural products, and in compounds possessing photochromic properties for use in the area of photochemical erasable memory, self-development photography, actinometry, displays, filters, lenses of variable optical density, and photomechanical biomaterials etc. And (*1R,8aS*)-1-hydroxyindolizidine (**3**) has been postulated to be a biosynthetic precursor of hydroxylated indolizidines such as (+)-lentiginosine **1**, (-)-2-epilentiginosine **2** and (-)-swainsonine, which are potentially useful antimetastasis drugs for the treatment of cancer. In continuation of a project aimed at the development of enantiomeric malimide-based synthetic methodology, we now report a divergent, concise and highly diastereoselective approach for the asymmetric syntheses of an aza-spiropyran derivative **7** and (*1S,8aR*)-1-hydroxyindolizidine (*ent*-**3**).

Results

The synthesis of aza-spiropyran **7** started from the Grignard addition of malimide **4**. Treatment of the THP-protected 4-hydroxybutyl magnesium bromide with malimide **4** at -20°C afforded *N,O*-acetal **5a** as an epimeric mixture in a combined yield of 89%. Subjection of the diastereomeric mixture of *N,O*-acetal **5a** to acidic conditions for 0.5 h resulted in the formation of the desired functionalized aza-spiropyran **7** as a single diastereomer in quantitative yield. The stereochemistry of the aza-spiropyran **7** was determined by NOESY experiment. For the synthesis of *ent*-**3**, aza-spiropyran **7**, or more conveniently, *N,O*-acetal **5a**, was converted to lactam **6a** under standard reductive dehydroxylation conditions in 78% or 77% yield. Reduction of lactam **6a** with borane-dimethylsulfide provided pyrrolidine **8** in 95% yield. Compound **8** was then converted to 1-hydroxyindolizidine *ent*-**3** via a four-step procedure, namely, *N*-debenzylolation/*O*-mesylation/Boc-cleavage/cyclization, and *O*-debenzylolation. Alternatively, amino alcohol **8** was mesylated and the resultant mesylate **12** was subjected to hydrogenolytic conditions, which gave (*1S,8aR*)-1-hydroxyindolizidine (*ent*-**3**) in 60% overall yield from **8**.

Conclusion

By the reaction of functionalized Grignard reagent with protected (*S*)-malimide, either aza-spiropyran or (1*S*,8a*R*)-1-hydroxyindolizidine skeleton could be constructed in a concise and selective manner. The results presented herein constitute an important extension of our malimide-based synthetic methodology.

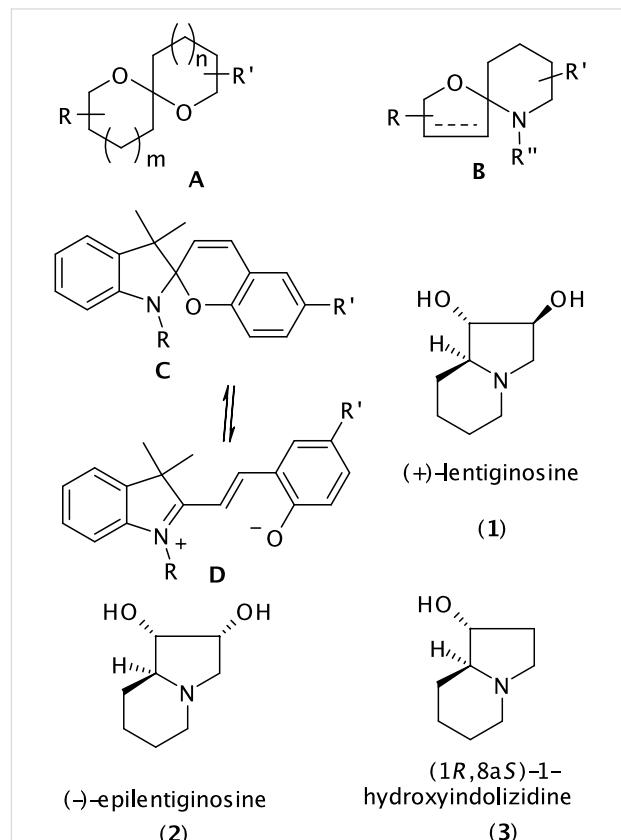
Background

Spiroketsals of general structure **A** (Scheme 1) constitute key structural features of a number of bioactive natural products isolated from insects, microbes, fungi, plants or marine organisms. [1-3] The corresponding aza-spiroketal (cf: general structure **B**) containing natural products, while less common, are also found in plants, shellfish and microbes.[4,5] For example, pandamarilactone-1 and pandamarine were isolated from the leaves of *Pandanus amaryllifolius*;<[6] solasodine and its derivatives were isolated from *Solanum umbelliferum*, which exhibited significant activity toward DNA repair-deficient yeast mutants;[7] azaspiracids are marine phycotoxins isolated from cultivated mussels in Killary harbor, Ireland;[8] and chlorofusin A is a novel fungal metabolite showing the potential as a lead in cancer therapy.[9] In addition, aza-spiropyrans **C**, being able to equilibrate with the corresponding non-spiro analogue **D**, is a well known class of compounds possessing photochromic properties for use in the area of photochemical erasable memory,[10] and also found applications as self-development photography, actinometry, displays, filters, lenses of variable optical density,[11] and photomechanical biomaterials etc.[12]

On the other hand, hydroxylated indolizidines [13-20] such as castanospermine, (−)-swainsonine, (+)-lentiginosine (**1**) [21-23] and (−)-2-epilentiginosine (**2**) [21-26] constitute a class of azasugars showing potent and selective glycosidase inhibitory activities. [13-20] (1*R*,8a*S*)-1-Hydroxyindolizidine **3** has been postulated as a biosynthetic precursor [21-26] of (+)-lentiginosine (**1**), (−)-2-epilentiginosine (**2**) and (−)-swainsonine, a potentially useful antimetastasis drug for the treatment of cancer.[15] In addition, these molecules serve as platforms for testing synthetic strategies, and several asymmetric syntheses of both enantiomers of 1-hydroxyindolizidine (**3**) have been reported. [27-34] In continuation of our efforts in the development of enantiomeric malimide-based synthetic methodologies, [35-38] we now report concise and highly diastereoselective syntheses of an aza-spiropyran derivative **7** and (1*S*,8a*R*)-1-hydroxyindolizidine (*ent*-**3**).

Results and discussion

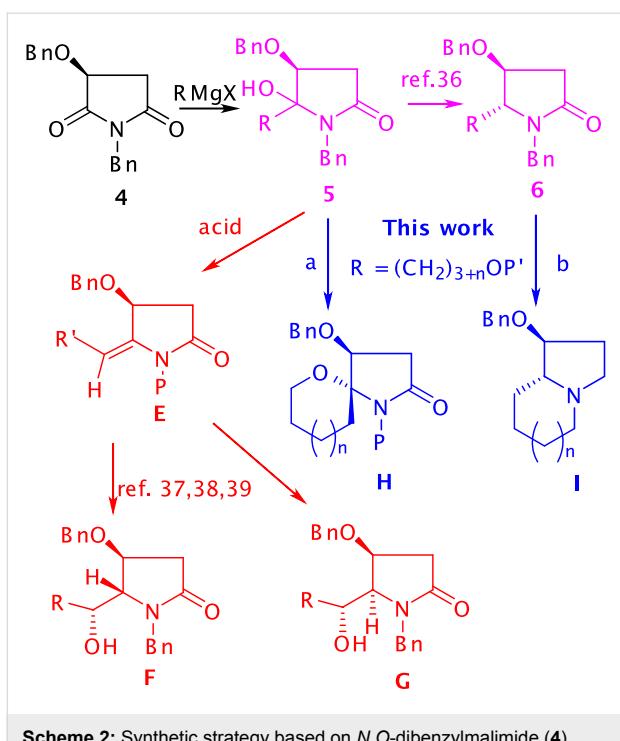
Previously, we have shown that the addition of Grignard reagents to *N,O*-dibenzyl malimide (**4**) leads to *N,O*-acetals **5** in high regioselectivity (Scheme 2), and the subsequent reductive dehydroxylation gives **6** in high *trans*-diastereoselectivity.[35]



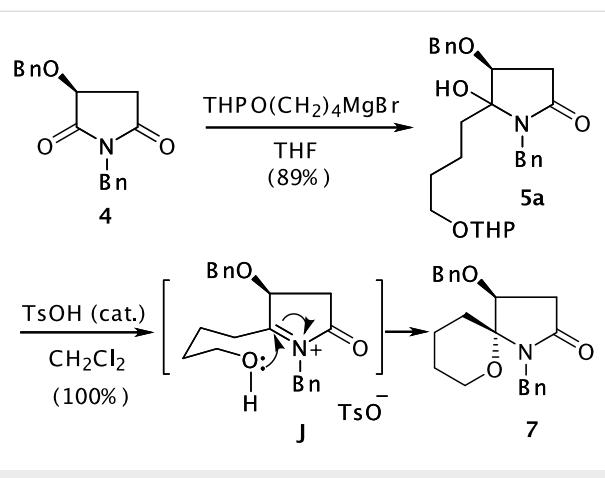
Scheme 1: The skeletons of useful aza-spiroketsals and some naturally occurring hydroxylated indolizidines.

On the other hand, treatment of *N,O*-acteals **5** with an acid furnished enamides **E**, which can be transformed stereoselectively to either hydroxylactams **F** or **G** under appropriate conditions. [36-38] It was envisioned that if a C₄-bifunctional Grignard reagent was used, both aza-spiroketal **H** (such as aza-spiropyran, n = 1, path a) and indolizidine ring systems **I** (path b) could be obtained.

The synthesis of aza-spiropyran **7** started from the Grignard addition of malimide **4**. Treatment of the THP-protected 4-hydroxybutyl magnesium bromide with malimide **4** at −20°C for 2.5 h afforded *N,O*-acetal **5a** as an epimeric mixture in 7:1 ratio and with a combined yield of 89% (Scheme 3). If the reaction was allowed to stir at room temperature overnight, the diastereomeric ratio was inverted to 1: 1.8. Subjection of the diastereomeric mixture of the *N,O*-acetal **5a** to acidic condi-

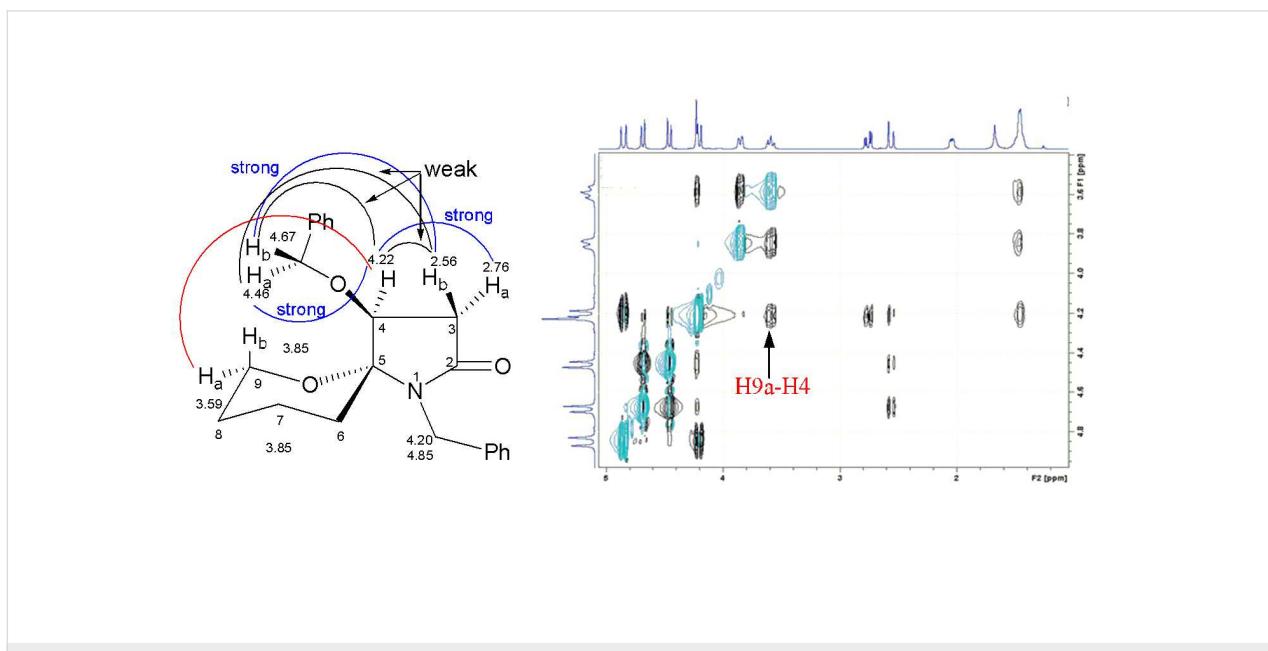
**Scheme 2:** Synthetic strategy based on *N,O*-dibenzylmalimide (**4**).

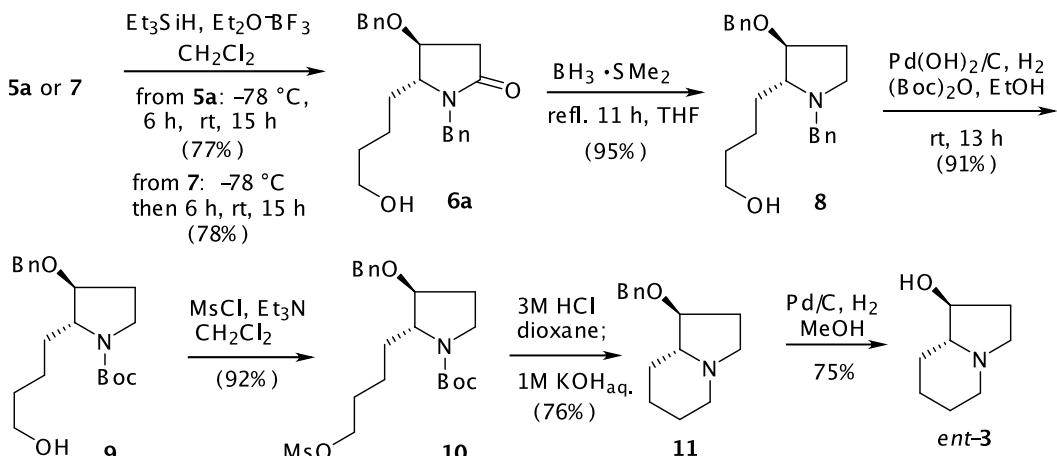
tions [TsOH (cat.)/ CH_2Cl_2 , r.t.] for 0.5 h resulted in the formation of the desired functionalized aza-spiropyran derivative **7** as a single diastereomer in quantitative yield. The result means that a tandem dehydration-THP cleavage-intramolecular nucleophilic addition occurred. When the stirring was prolonged to 2 h, about 5% of another epimer (no shown) was also formed according to the ^1H NMR analysis.

**Scheme 3:** Stereoselectivity synthesis of aza-spiropyran **7**.

The stereochemistry of the aza-spiropyran **7** was determined on the basis of the NMR analysis. This was done firstly by a ^1H - ^1H COSY experiment to confirm the proton assignments, and then by NOESY experiment. As shown in Figure 1, the strong NOE correlation of H-9a ($\delta_{\text{H}} 3.59$) and H-4 ($\delta_{\text{H}} 4.22$) indicates clearly O_4/O_5 -*trans* relationship in compound **7**.

These findings are surprising comparing with our recent observations. In our previous investigations, it was observed that the treatment of *N,O*-acetals **5** with an acid leads to the dehydration products **E** (Scheme 2), and the two diastereomers of **5** shows different reactivities towards the acid-promoted dehydration. [36-38] The *trans*-diastereomer reacts much more slower than the *cis*-diastereomer, and some un-reacted *trans*-epimer was

**Figure 1:** The observed NOE correlations (in part) and the region expanded NOESY spectrum of compound **7**.

**Scheme 4:** Stereoselective synthesis of $(1S,8aR)$ -1-hydroxyindolizidine (*ent*-3).

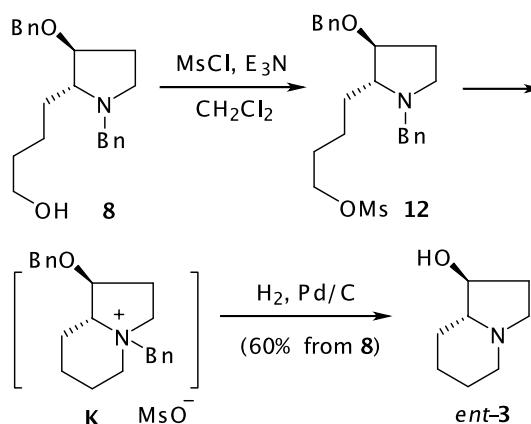
always recovered even starting with a pure *cis*-diastereomer. In the present study, not only both two diastereomers have been completely converted to the aza-spiropyran **7**, what is equally surprising is that no dehydration product was observed under acidic conditions!

For the synthesis of *ent*-**3**, aza-spiropyran **7**, a cyclic *N,O*-acetal, was converted to lactam **6a** under standard reductive dehydroxylation conditions (Et_3SiH , $\text{BF}_3 \cdot \text{OEt}_2$, -78°C , 6 h; warm-up, yield: 78%) (Scheme 4). Under the same conditions, *N,O*-acetal **5a** was converted to lactam **6a** in 77% yield. It was observed that during the reaction of **5a**, **7** was first formed as an intermediate after the addition of Et_3SiH and $\text{BF}_3 \cdot \text{OEt}_2$, and stirring for 1 hour.

Reduction of lactam **6a** with borane-dimethylsulfide provided pyrrolidine derivative **8** in 95% yield. Compound **8** was then converted to $(1S,8aR)$ -1-hydroxyindolizidine (*ent*-**3**) $\{[\alpha]_D^{27} +50$ (*c* 0.90, EtOH); lit.[29] $[\alpha]_D +51.0$ (*c* 0.54, EtOH); lit.[32] -49.7 (*c* 0.95, EtOH) for the antipode} via a four-step procedure, namely, one-pot *N*-debenzylolation-*N*-Boc formation/*O*-mesylation/Boc-cleavage/cyclization, and *O*-debenzylolation.

In searching for a more concise method, amino alcohol **8** was mesylated (MsCl , NEt_3 , 0°C) and the resultant labile mesylate **12** was subjected to catalytic hydrogenolysis (H_2 , 1 atm, 10% Pd/C , r.t.), which gave $(1S,8aR)$ -1-hydroxyindolizidine (*ent*-**3**) in 60% overall yield from **8** (Scheme 5).[39,40] The one-pot *N,O*-bis-debenzylolation and cyclization of mesylate **12** deserves comment. Because the *N*-debenzylolation generally required longer reaction time,[41] or using of Pearlman's catalyst (cf. Scheme 4). The easy debenzylation of **12** allows assuming that an intramolecular substitution occurred firstly, and the formation of the quaternary ammonium salt **K** [40] then favors the

reductive debenzylation. This mechanism is supported by the following observations. First, in a similar case, Thompson et al observed that the formation of a mesylate resulted in spontaneous quaternization leading to the bicyclic indolizidine.[40] Second, we have also observed that the tosylate of **8** is too labile to be isolated, and mesylate **12** decomposed upon flash column chromatography on silica gel, which are due to the spontaneous formation of a polar quaternary ammonium salt. In addition, the presence of the *O*-benzyl group in **K** is an assumption based on our previous observation on a similar case.[42]

**Scheme 5:** One-pot synthesis of *ent*-**3** from amino alcohol **8**.

Conclusion

In summary, we have demonstrated that by the reaction of functionalized Grignard reagent with the protected (*S*)-malimide **4**, either aza-spiropyran derivative **7** or $(1S,8aR)$ -1-hydroxyindolizidine skeleton (*ent*-**3**) can be constructed in a concise and selective manner. It is worthy of mention that in addition to the reductive dehydroxylation leading to 2-pyrrolidinones **6**, and

the acid-promoted dehydration leading to (*E*)-enamides **E** (and then **F**, **G**), acid treatment of the *N,O*-acetal **5a** could provide, chemoselectively and quantitatively, the aza-spiropyran ring system **7**. The results presented herein constitute a valuable extension of our malimides-based synthetic methodology.

See Supporting Information File 1 for full experimental procedures and characterization data of the synthesized compounds.

Supporting Information

Supporting Information File 1

Experimental. Experimental procedures for the synthesis of all compounds described, and characterization data for the synthesized compounds.

[<http://www.beilstein-journals.org/bjoc/content/supplementary/1860-5397-3-41-S1.doc>]

Acknowledgments

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